

10 July 2017

Dr Mike Pole (PhD, Otago)

By email: murihiku@yahoo.com

Dear Dr Pole

Re: Academic misconduct complaint

I am writing in response to the academic misconduct complaint you made on 19<sup>th</sup> March 2018 involving the thesis of Dr Reichgelt and supervisor Assoc Prof Lee (attached). According to our policies, a Preliminary Enquiry has now been undertaken by Prof Jonathan Waters, the Associate Dean (Research) from the Division of Sciences, and his report is attached together with a response from Dr Lee to your complaint.

As you will see from Prof Waters' report, some shortcomings have been identified which may need correction in the academic literature, however as these do not amount to serious and deliberate misconduct in research there will not be the need for your complaint to proceed further to a Formal Investigation.

Instead, I have asked Prof Lee to respond to you directly to pass on her acknowledgement that your work was not appropriately or accurately referenced, and to consider correcting or clarifying these issues in the literature as is appropriate to the discipline. The letter from her to you is attached, and I trust that she will be able to work with you on this in an open and cooperative manner in order to finalise the matter. I am happy to act in a liaison capacity if necessary.

I acknowledge that this will not be the response that you have expected from this process. I share your commitment to upholding scientific standards and integrity to the highest level, but in this case I believe that these matters are best managed through the normal scientific processes. Please feel free to contact me directly if you have any remaining concerns.

Yours sincerely

Professor Richard Blaikie

Deputy Vice-Chancellor, Research and Enterprise

cc Registrar

Prof Richard Baker, Pro Vice-Chancellor, Division of Sciences Prof Jonathan Waters, Associate Dean (Research), Division of Sciences